

Image RCE

PTO/SB/30 (09-03)

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**Request
for
Continued Examination (RCE)
Transmittal**

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

JAN 08 2004

| | |
|------------------------|-------------------|
| Application Number | 09/749,590 |
| Filing Date | December 28, 2000 |
| First Named Inventor | Fumio OHTAKE |
| Art Unit | 2814 |
| Examiner Name | MAI, Anh D. |
| Attorney Docket Number | 001752 |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____
- ii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. **Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☒ Other Amendment Transmittal

3. **Fees**

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
The Director is hereby authorized to charge the following fees, or credit any overpayments, to
- a. ☒ Deposit Account No. 50-2866
- i. ☐ RCE fee required under 37 CFR 1.17(e) 01/09/2004 JADD01 00000056 09749590
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17) 03 FC:1801 770.00 OP
- iii. ☐ Other _____
- b. ☒ Check in the amount of \$ 928.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|-------------------|----------------------|-----------------------------------|-----------------|
| Name (Print/Type) | Sadao Kinashi | Registration No. (Attorney/Agent) | 48,075 |
| Signature | <i>Sadao Kinashi</i> | Date | January 8, 2004 |

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

| | | | |
|-------------------|--|------|--|
| Name (Print/Type) | | Date | |
| Signature | | | |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Fumio OHTAKE, et al.

Group Art Unit: **2814**

Serial No.: **09/749,590**

Examiner: **MAI, Anh D.**

Filed: **December 28, 2000**

Confirmation No.: **4831**

For: **SEMICONDUCTOR DEVICE HAVING GATE ELECTRODES WITH
POLYMETAL STRUCTURE OF POLYCRYSTALLINE SILICON FILMS AND
METAL FILMS (as amended)**

Attorney Docket No.: **001752**

Customer Number: **38834**

SUBMISSION UNDER 37 C.F.R. §1.114

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

January 8, 2004

Sir:

This Submission is being filed concurrently with a Request for Continued Examination pursuant to 37 C.F.R. §1.114. Please amend the claims as follows.

Amendments to the Claims are reflected in the list of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

01/09/2004 JADD01 00000056 09749590

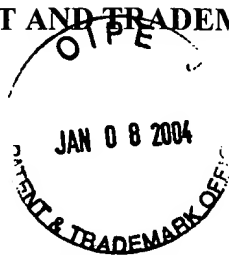
01 FC:1201 86.00 OP
02 FC:1202 72.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Attorney Docket No.: **001752**

Customer Number: **38834**

AMENDMENT TRANSMITTAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

January 8, 2004

Sir:

Transmitted herewith is an Amendment in the above-identified application. The fee has been calculated as shown below:

| CLAIMS AS AMENDED | | | | | | | |
|-------------------------------------------------|----------------------------------|------------------------------------|---|---------------|--------------|--------------|------------------|
| | Claims Remaining After Amendment | Highest Number Previously Paid For | | Present Extra | Small Entity | Large Entity | Additional Fee |
| Total Claims | 24 | 20 | = | 4 | X \$9 | X \$18 | \$ 72 |
| Independent Claims | 4 | 3 | = | 1 | X \$43 | X \$86 | \$ 86 |
| First Presentation of Multiple Dependent Claims | | | | | \$145 | \$290 | |
| | | | | | | | \$ 158.00 |

XX Enclosed please find our check in the amount of **\$ 928.00**. This check includes **\$ 770.00** for the RCE and **\$ 158.00** for the additional claims fee in connection with this amendment.

XX The Commissioner is hereby authorized to charge payment for any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Sadao Kinashi
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